

REQUEST FOR SUBCONTRACTORS TO PREQUALIFY
for Johnson Student Center at Santa Ana College
(LEASE-LEASEBACK)
RFQ #1718-195



Response must be received no later than

March 23, 2018 at 3:00 PM

LATE RESPONSES WILL NOT BE CONSIDERED

Submit Response To: RSCCD Facility Planning, District Construction and
Support Services
2323 N. Broadway, Suite 112
Santa Ana, CA 92706
Attention: Carri M. Matsumoto,
Assistant Vice Chancellor

Questions or Clarifications: All questions must be submitted in writing, via email
to: FacilitiesRFP@rsccd.edu

**REQUEST FOR SUBCONTRACTORS TO PREQUALIFY FOR
SANTA ANA COLLEGE’S JOHNSON STUDENT CENTER PROJECT**

The Rancho Santiago Community College District (“**District**”) is issuing this Request for Subcontractors to Prequalify (“**Request**”) to provide subcontracting services for the construction of the Johnson Student Center at Santa Ana College campus, as further described herein (“**Project**”), through the submission of the Subcontractor Prequalification Questionnaire.

The Project will be constructed in multiple phases. The District will select a contractor pursuant to the Lease Leaseback delivery method (Education Code section 81355). Subcontractors that the District prequalifies will submit bids to the contractors at the time(s) the District issues the requests for proposals for each increment.

Prequalified Subcontractors Pool. This Request is not a formal request for bids or an offer by the District to contract with any contractor responding to this Request. The District intends to prequalify subcontractors performing work under the following license classifications/trades for the Project:

- C-4 – Boiler, Hot Water Heating and Steam Fitting Contractor
- C-7 – Low Voltage Systems Contractor
- C10 – Electrical Contractor
- C16 – Fire Protection Contractor
- C20 – Warm-Air Heating, Ventilating and Air-Conditioning Contractor
- C34 – Pipeline Contractor
- C36 – Plumbing Contractor
- C38 – Refrigeration Contractor
- C42 – Sanitation System Contractor
- C43 – Sheet Metal Contractor
- C46 – Solar Contractor

Subcontractors must be prequalified with the District for each of its license classifications under which it intends to propose to work. For example, if a subcontractor intends to propose under its C-10 license and its C-36 license, it must submit two (2) separate Subcontractor Prequalification Packages; one for each license.

PRIOR PROJECT PREQUALIFICATION AND QUALIFICATION: Subcontractors that were prequalified for the Santa Ana College Science Center Project (RFP #1718-173) are prequalified for this Project.

Responses. Interested subcontractors must submit their Response to the address indicated on the cover page and by the date indicated in the Request Schedule, with one (1) original and one (1) copy of requested materials as well as one (1) electronic copy, in PDF format with bookmarks on a thumb drive or CD-ROM (email not accepted). Respondents shall submit one (1) hard copy of the Firm’s financial statement; electronic copy is not required. Respondent’s response must meet all requirements established by this Request. Failure to meet a Request requirement may render a Respondent’s response non-responsive.

Questions. Questions regarding this Request must be in writing and directed only to FacilitiesRFP@rsccd.edu by the date indicated in the Request Schedule. Subcontractors are directed to not contact any other person regarding this Request.

Request Schedule. The District has set the following Request Schedule that all subcontractors must adhere to. Late responses will not be considered. The District reserves the right to modify this Request Schedule and will issue an addendum if it modifies this Request Schedule.

Event / Occurrence	Date / Deadline
District Issues Request	January 23, 2018
Deadline for subcontractors to submit questions regarding this Request	February 15, 2018
District to respond to subcontractors’ questions regarding this Request	March 2, 2018
Deadline for subcontractors to submit Subcontractor Prequalification Questionnaire and Financial Documentation	3:00 PM, March 23, 2018

Prevailing Wage. This Project is a public work project. All subcontractors (of any tier) must pay prevailing wages and must be currently registered and qualified to perform public work in accordance with Labor Code section 1725.5.

OCIP. This Project is insured under the District's Owner Controlled Insurance Program ("**OCIP**"). Additional information can be provided to subcontractors upon request.

CSWPA. This Project is subject to the District's Community and Student Workforce Project Agreement ("**CSWPA**"). Additional information can be provided to subcontractors upon request.

Addenda. If the District issues addenda to this Request, subcontractors are solely responsible for and must acknowledge receipt of addenda in the Subcontractor's response. Failure to acknowledge and respond to any addenda issued by the District may, in the District's sole discretion, render the subcontractor's response to be deemed non-responsive and may be rejected.

District's Appeal Process. A subcontractor may appeal the District's prequalification determination, but only if it follows this process. Failure of a subcontractor to timely follow all appeal steps in this process shall be a waiver of the subcontractor's right to appeal the District's determination.

1. The subcontractor shall submit, in writing, within two (2) business days after receipt of notice of the District's determination, a written request for a response from the District to explain the District's determination.
2. Within two (2) business days from receipt of the District's response to the subcontractor's request, subcontractors may request in writing a meeting, which will be restricted to no more than two (2) persons from the Firm to have a discussion with District staff regarding that subcontractor's Response. All meetings will take place via a conference call or an in-person meeting, at the District's sole discretion. Firms may submit with the request any and all information that it believes supports a finding that District's determination should be changed.
3. If the subcontractor continues to contest the District's determination after that meeting with District staff, then, in order for a subcontractor to preserve its right to challenge the District's determination, the subcontractor must address the Board of Trustees pursuant to the Board of Trustees' procedures for public comment, at the next public noticed meeting of the Board of Trustees after the subcontractor's meeting with District staff.

Thank you for your interest in working with the Rancho Santiago Community College District.

Attachment 1

PROJECT DESCRIPTION, ANTICIPATED SCHEDULE, AND ANTICIPATED CONSTRUCTION (HARD COST) BUDGETS

A. PROJECT DESCRIPTION

The Project is the demolition and construction of a new student center at the Santa Ana College Campus, located at 1530 West 17th Street, Santa Ana, CA 92706.

This Project includes two phases: 1) the demolition of an existing two-story concrete building; and 2) the construction of a new 62,424 gross square foot, two-story steel framed building with steel buckling-restrained braced frames. The scope for each phase general includes the following:

Phase No. 1: Two bid packages are included within this phase, one with Division of the State Architect (DSA) Approval Increment 1, Application Number 04-116810 (approval pending) and one non-DSA project. The scope generally includes: installation of construction fencing; hazardous material abatement; demolition of existing building, foundation, existing piles and pile caps, site paving; removal of underground utilities; rough grading, over-excavation, placement of engineered fill, re-compaction of soil as site preparation for the new building. All of Increment 1 work is within Phase No. 1.

Phase No. 2: Construction of the new two-story building, site improvements, building utilities, landscaping and irrigation, road improvement; construction of lunch shelter, and a custom fabric structure. All of Increment 2 work is within Phase No. 2. The first floor of the proposed student center houses a campus store, café, student lounge area, student workstations, conference rooms, publication and copy rooms, storage/warehouse rooms, Disabled Students Program and Services (DSPS), and a health center. Additional departments, conference rooms, and storage areas are located on the second floor. All interior and exterior walls will be non-load-bearing and will be framed with metal studs. Exterior wall cladding will consist of curtain walls, storefronts, lath and plaster, combination of metal and other architectural wall panels. The Project also includes outdoor lighting, site utility, site improvements, seating area, landscaping and irrigation. The site area is approximately 102,660 SF.

The Project will be submitted to DSA in two increments.

1. Increment 1 DSA Submittal: Removal of existing deep foundation and site preparation for new building (earthwork, over-excavation, placement of engineered fill and re-compaction of soil)
2. Increment 2 DSA Submittal: New building, site improvements, utilities, landscaping and irrigation, road improvement, lunch shelter, and custom fabric structure

Please use this link to download a copy of the DSA submission drawings and specifications for your information. **These documents are not yet DSA-approved and are subject to change:**

<https://www.dropbox.com/sh/ftac5ckpodqc882/AAC37p-FEMJaLl1i3hrSlmP1a?dl=0>

B. ANTICIPATED SCHEDULE

Phase No. 1:

Anticipated Start Date Phase No. 1: October 2018

Anticipated Completion Date Phase No. 1: March 2019

Phase No. 2:

Anticipated Start Date Phase No. 2: May 2019

Anticipated Completion Date Phase No. 2: April 2021

C. ESTIMATED CONSTRUCTION COST

Phase No. 1: \$1.8 million	Phase No. 2: \$30 million
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Attachment 2

SUBCONTRACTOR PREQUALIFICATION QUESTIONNAIRE

Subcontractors must be prequalified with the District for each of its license classifications under which it intends to propose to work. For example, if a subcontractor intends to propose under its C-10 license and its C-36 license, it must submit two (2) separate Subcontractor Prequalification Packages; one for each license.

PRIOR PROJECT PREQUALIFICATION AND QUALIFICATION: Subcontractors that were prequalified for the Santa Ana College Science Center Project (RFP #1718-173) are prequalified for this Project.

SUBCONTRACTOR (OR "FIRM") INFORMATION

Subcontractor's company name:		
Subcontractor's Representative (Point of Contact):		
Address:		
Telephone:		Mobile telephone:
E-mail:		
Years in business under current company name:		
Types of work performed with own forces:		
Gross revenue of the Firm for the past three (3) years:		
\$	\$	\$

Submit ONE (1) hardcopy (electronic not required) audited or reviewed financial statement for the past two (2) full fiscal years. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only, and is not a substitute for the required financial statement.

Number of years this accounting firm has prepared financial statements for the Firm:	
Number of years this accounting firm has prepared tax returns for the Firm:	

Name of license holder exactly as on file with the California State License Board:	
License classification Subcontractor is Prequalifying for with this Prequalification Package:	
License Number:	
License expiration date:	
Department of Industrial Relations registration number (Per Labor Code section 1725.5):	
Number of years license holder has held the listed license:	
Number of years Subcontractor has performed business in California in accordance with the California Contractor's License Law (California Business and Professions Code Chapter 9, Sections 7000 – 7191):	
Number of years Subcontractor has done business in California under current Contractor's license:	
Has your Firm changed name(s) or license number(s) in the past five (5) years? (Y / N). If "yes", explain on a separate signed sheet, including the reason for the change.	
Has there been any change in ownership of the Firm at any time in the past five (5) years? NOTE: A corporation whose shares are publicly traded is not required to answer this question. (Y / N). If "yes", explain on a separate signed sheet, including the reason for the change.	
Is the Firm a subsidiary, parent, holding company, or affiliate of another construction firm? NOTE: Include information about other firms if one firm owns ten percent (10%) or more of another, or if an owner, partner, or officer of your Firm holds a similar position in another firm. (Y / N). If "yes", explain on a separate signed sheet, the name of the related	

company(ies) and the percent ownership.

Indicate the form of Subcontractor's firm (type of business entity):

<input type="checkbox"/> Individual	<input type="checkbox"/> Sole Proprietorship
<input type="checkbox"/> Partnership	<input type="checkbox"/> Limited Partnership
<input type="checkbox"/> Corporation, State: _____	<input type="checkbox"/> Limited Liability Company
<input type="checkbox"/> Joint Venture	<input type="checkbox"/> Other: _____

List the following for each corporation officer, general partner, limited partner, owner, etc. (as applicable) for the Subcontractor's type of entity. For joint ventures, include this information for each entity in the joint venture and the percent ownership of each joint venture. Attach all additional information on separate signed sheets as needed.

Name	Position	Years with Co.	% Ownership

Identify every construction firm, contractor and/or construction management firm that the Subcontractor or any person listed above has been associated with (as officer, general partner, limited partner, owner, RMO, RME etc.) at any time during the **past five (5) years** ("Associated Firm"). Include all additional references and/or information on separate signed sheets. NOTE: For this question, "owner" and "partner" refers to ownership of ten percent (10%) or more of the business, or ten percent (10%) or more of its stock if the business is a corporation. include all additional information on separate signed sheets as needed.

Name of Person at Associated Firm	Name of Associated Firm	Contractor's License No. of Associated Firm	Dates of Person's Participation with Associated Firm

Form continued on next page

SUBCONTRACTOR'S BONDING COMPANY (SURETY) INFORMATION

Name(s) of bonding company(ies) your Firm has utilized over the past five (5) years (not broker or agency):

Address(es) of those bonding company(ies):

Number of years Firm has been with each bonding company/surety:

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Name of broker/agent:

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Address of broker/agent:

--

Telephone number of broker/agent:

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E-mail of broker/agent:

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Subcontractor's total current bonding capacity (\$):

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SUBCONTRACTOR'S INSURANCE INFORMATION

Name of insurance company(ies) your Firm has utilized over the past five (5) years (not broker or agency):		

Address of those insurance company(ies):		

"Best" rating(s) for those insurance company(ies):		

Number of years Subcontractor has been with those insurance company(ies):		

Name of broker/agent:	
Address of broker/agent:	
Telephone number of broker/agent:	
E-mail of broker/agent:	

Subcontractor's current insurance limits for the following types of coverage:		
Commercial General Liability	Combined Single Limit (aggregate) (\$):	
	Combined Single Limit (per occurrence) (\$):	
Product Liability & Completed Operations	(aggregate) (\$):	
	(per occurrence) (\$):	
Automobile Liability – Any Auto	Combined Single Limit (aggregate) (\$):	
	Combined Single Limit (per occurrence) (\$):	
Employers' Liability (\$):		
Builder's Risk (Course of Construction) (\$):		

Workers' Compensation Experience Modification Rate (EMR) for the past five (5) premium years:		
(1) Current year:	(2)	(3)
	(4)	(5)

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PRIOR PROJECT PREQUALIFICATION AND QUALIFICATION: Subcontractors that were prequalified for the Santa Ana College Science Center Project (RFP #1718-173) are prequalified for this Project.

QUESTIONS

Pass/Fail Questions (Essential Criteria) – Subcontractors

1.	<p><u>FOR C-7 AND C-16 SUBCONTRACTORS:</u></p> <p>Has your Firm contracted for and completed construction of a minimum of:</p> <ul style="list-style-type: none"> • Three (3) Division of the State Architect-approved construction projects, • Each with a value of at least \$200,000, and • All within the past eight (8) years? <p>NOTE: You must list these projects in the “Pass/Fail Project References” Section.</p>	<p><u>FOR ALL OTHER SUBCONTRACTORS:</u></p> <p>Has your Firm contracted for and completed construction of a minimum of:</p> <ul style="list-style-type: none"> • Three (3) Division of the State Architect-approved construction projects, • Each with a value of at least \$500,000, and • All within the past eight (8) years? <p>NOTE: You must list these projects in the “Pass/Fail Project References” Section.</p>	<p><u>YES NO</u> NO = cannot prequalify</p>
2.	<p>Has your Firm contracted for and completed construction of a minimum of:</p> <ul style="list-style-type: none"> • Two (2) California community college, or other university or college (higher education) projects (e.g., private colleges, out-of-state colleges, CSU projects, UC projects, etc.), • Each with a value of at least \$250,000, and • All within the past eight (8) years? (Please circle “YES” or “NO”). <p>NOTE: You must list these projects in the “Pass/Fail Project References” Section.</p>		<p><u>YES NO</u> NO = cannot prequalify</p>
3.	<p>Does your Firm currently hold all contractors’ license necessary to perform the work and have those license(s) been consistently active for at least five (5) years without revocation or suspension? (Please circle “YES” or “NO”).</p>		<p><u>YES NO</u> NO = cannot prequalify</p>
4.	<p>Has your Firm or an Associated Firm been found non-responsible, debarred, disqualified, forbidden, or otherwise prohibited from performing work and/or bidding on work for any public agency within California within the past five (5) years? (Please circle “YES” or “NO”).</p>		<p><u>YES NO</u> YES = cannot prequalify</p>
5.	<p>Has your Firm or an Associated Firm defaulted on a contract or been terminated for cause by any public agency on any project within California within the past five (5) years and, if so and if challenged, has that default or termination been upheld by a court or an arbitrator? (Please circle “YES” or “NO”).</p>		<p><u>YES NO</u> YES = cannot prequalify</p>
6.	<p>Has your Firm or an Associated Firm or any of their owners or officers been convicted of a crime under federal, state, or local law involving:</p> <ul style="list-style-type: none"> (1) Bidding for, awarding of, or performance of a contract with a public entity; (2) Making a false claim(s) to any public entity; or (3) Fraud, theft, or other act of dishonesty <p>to any contracting party within the past ten (10) years? (Please circle “YES” or “NO”).</p>		<p><u>YES NO</u> YES = cannot prequalify</p>
7.	<p>Has a performance bond surety for your Firm or a performance bond surety for an Associated Firm had to:</p> <ul style="list-style-type: none"> (1) Take over or complete a project, (2) Supervise the work of a project, or (3) Pay amounts to third parties, <p>related to construction activities of your Firm or an Associated Firm within the past five (5) years? (Please circle “YES” or “NO”).</p>		<p><u>YES NO</u> YES = cannot prequalify</p>



**If you answered:
“NO” to questions 1-3 or
“YES” to questions 4-7, then STOP.
You are not eligible for prequalification at this time.**

SCORED QUESTIONS – Subcontractors

1.	<p>Has your Firm paid liquidated damages pursuant to a contract for a project with either a public or private owner within the past five (5) years? (Please circle “YES” or “NO”).</p> <p style="padding-left: 40px;">If YES, explain and indicate on separate signed sheet(s) the project name(s), damages(s), and date(s).</p>	<p><u>YES</u> <u>NO</u></p>
2.	<p>Has your Firm paid a premium of more than one percent (1%) for a performance and payment bond on any project(s) within the past five (5) years? (Please circle “YES” or “NO”).</p> <p style="padding-left: 40px;">If YES, explain and indicate on separate signed sheet(s) the project name(s), the premium amount(s), and date(s).</p>	<p><u>YES</u> <u>NO</u></p>
3.	<p>Has any insurer had to pay amounts to third parties that were in any way related to construction activities of your Firm within the past five (5) years? (Please circle “YES” or “NO”).</p> <p style="padding-left: 40px;">If YES, explain and indicate on separate signed sheet(s) the project name(s), the amount(s) paid, and date(s).</p>	<p><u>YES</u> <u>NO</u></p>
4.	<p>Has your Firm’s Workers’ Compensation Experience Modification Rate exceeded 1.25 at any time for the past five (5) premium years? (Please circle “YES” or “NO”).</p> <p style="padding-left: 40px;">If YES, explain and indicate on separate signed sheet(s) the EMR(s) and the applicable date(s).</p>	<p><u>YES</u> <u>NO</u></p>
5.	<p>Has there been a period when your Firm had employees but was without workers’ compensation insurance or state-approved self-insurance within the past five (5) years? (Please circle “YES” or “NO”).</p> <p style="padding-left: 40px;">If YES, explain and indicate on separate signed sheet(s) the reason(s) for not having this insurance and the applicable date(s).</p>	<p><u>YES</u> <u>NO</u></p>
6.	<p>Has your Firm declared bankruptcy or been placed in receivership within the past five (5) years? (Please circle “YES” or “NO”).</p> <p style="padding-left: 40px;">If YES, explain and indicate on separate signed sheet(s) the type of bankruptcy, the Firm’s current recovery plan, and the applicable date(s).</p>	<p><u>YES</u> <u>NO</u></p>
7.	<p>Has your Firm been denied bond coverage by a surety company, or has there been a period of time when your Firm had no surety bond in place during a public construction project when one was required within the past five (5) years? (Please circle “YES” or “NO”).</p> <p style="padding-left: 40px;">If YES, provide details on a separate signed sheet indicating the date(s) when your Firm was denied coverage and the name of the company or companies which denied coverage; and the period(s) during which you had no surety bond in place.</p>	<p><u>YES</u> <u>NO</u></p>
8.	<p>Has a public entity, project owner, general contractor, architect, or construction manager filed claim(s) in an amount exceeding \$50,000 against your Firm, or has your Firm filed claim(s) in an amount exceeding \$50,000 against a public entity, project owner, general contractor, architect, or construction manager in the past five (5) years? (Please circle “YES” or “NO”).</p> <p style="padding-left: 40px;">If YES, explain and indicate on separate signed sheet(s) the project name(s), claim(s) and the date(s) of claim(s).</p>	<p><u>YES</u> <u>NO</u></p>

9.	<p>Has your Firm or an Associated Firm been cited and/or assessed any penalties for non-compliance with state and/or federal laws and/or regulations, including public bidding requirements and Labor Code violations, within the past five (5) years? (Please circle "YES" or "NO").</p> <p>If "YES," indicate on separate signed sheet(s) the project name(s), violation(s), and date(s) of citation(s) and/or assessment(s).</p>	<p><u>YES</u> <u>NO</u></p>
10.	<p>Has your Firm been required to pay either back wages or penalties for its failure to comply with California's prevailing wage laws, with California's apprenticeship laws or regulations, or with federal Davis-Bacon prevailing wage laws within the past five (5) years? (Please circle "YES" or "NO").</p> <p>If "yes," indicate on separate signed sheet(s) the project name(s), the nature of the violation(s), the name and owner of the project(s), the number of employees who were initially underpaid and the amount of back wages and penalties that your Firm was required to pay.</p>	<p><u>YES</u> <u>NO</u></p>
11.	<p>Does your Firm or an Associated Firm have any pending claims for non-compliance with state and/or federal laws and/or regulations, including public bidding requirements and Labor Code violations? (Please circle "YES" or "NO").</p> <p>If YES, explain and indicate on separate signed sheet(s) the project name(s), claim(s), the date(s) of claim(s) and the status of the claim(s).</p>	<p><u>YES</u> <u>NO</u></p>
12.	<p>Has your Firm been cited and/or assessed penalties by the Environmental Protection Agency, any air quality management district, any regional water quality control board, or any other environmental agency within the past five (5) years? (Please circle "YES" or "NO").</p> <p>If "yes," indicate on separate signed sheet(s) the project name(s), violation(s), and date(s) of citation.</p>	<p><u>YES</u> <u>NO</u></p>
13.	<p>Has Cal/OSHA and/or federal Occupational Safety and Health Administration cited and assessed penalties against your Firm, including any "serious," "willful" or "repeat" violations of safety or health regulations within the past five (5) years? (Please circle "YES" or "NO").</p> <p>If "yes," indicate on separate signed sheet(s) the project name(s), violation(s), and date(s) of citation. If the citation was appealed and a decision has been issued, state the case number and the date of the decision.</p>	<p><u>YES</u> <u>NO</u></p>
14.	<p>Does your Firm require weekly, documented safety meetings to be held for construction employees and field supervisors during the course of a project? (Please circle "YES" or "NO").</p>	<p><u>YES</u> <u>NO</u></p>
15.	<p>Provide the name, address and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your Firm for use on any public work project for which you are awarded a contract by the District.</p>	

“PASS/FAIL” PROJECT REFERENCES – Subcontractors

List here the projects that satisfy the “**Pass/Fail Questions (Essential Criteria)**” requirements above. This form **must be utilized** for PASS/FAIL Project References. Note, a California public community college project within the correct timeline and dollar amount may qualify for both Question 1 and Question 2 and Contractor may use such project(s) to fulfill each question.

PASS/FAIL QUESTION 1 – PROJECT REFERENCE 1 OF 3

Project name/identification:			
Project address/location:			
Project owner, contact person, and telephone:			
Project architect name and telephone number:			
Indicate if the project was under lease-leaseback, design-build, design-bid-build or other delivery structure:			
Scope of work:			
Original completion date:			
Actual completion date:			
Initial contract value (as of time of bid award) for Subcontractor’s portion of the applicable scope of work for this license category:			
Final contract value for Subcontractor’s portion of the applicable scope of work for this license category:			

PASS/FAIL QUESTION 1 – PROJECT REFERENCE 2 OF 3

Project name/identification:			
Project address/location:			
Project owner, contact person, and telephone:			
Project architect name and telephone number:			
Indicate if the project was under lease-leaseback, design-build, design-bid-build or other delivery structure:			
Scope of work:			
Original completion date:			
Actual completion date:			
Initial contract value (as of time of bid award) for Subcontractor’s portion of the applicable scope of work for this license category:			
Final contract value for Subcontractor’s portion of the applicable scope of work for this license category:			

“PASS/FAIL” PROJECT REFERENCES

PASS/FAIL QUESTION 1 – PROJECT REFERENCE 3 OF 3

Project name/identification:			
Project address/location:			
Project owner, contact person, and telephone:			
Project architect name and telephone number:			
Indicate if the project was under lease-leaseback, design-build, design-bid-build or other delivery structure:			
Scope of work:			
Original completion date:			
Actual completion date:			
Initial contract value (as of time of bid award) for Subcontractor’s portion of the applicable scope of work for this license category:			
Final contract value for Subcontractor’s portion of the applicable scope of work for this license category:			

“PASS/FAIL” PROJECT REFERENCES

PASS/FAIL QUESTION 2 – PROJECT REFERENCE 1 OF 2

Project name/identification:			
Project address/location:			
Project owner, contact person, and telephone:			
Project architect name and telephone number:			
Indicate if the project was under lease-leaseback, design-build, design-bid-build or other delivery structure:			
Scope of work:			
Original completion date:			
Actual completion date:			
Initial contract value (as of time of bid award) for Subcontractor’s portion of the applicable scope of work for this license category:			
Final contract value for Subcontractor’s portion of the applicable scope of work for this license category:			

PASS/FAIL QUESTION 2 – PROJECT REFERENCE 2 OF 2

Project name/identification:			
Project address/location:			
Project owner, contact person, and telephone:			
Project architect name and telephone number:			
Indicate if the project was under lease-leaseback, design-build, design-bid-build or other delivery structure:			
Scope of work:			
Original completion date:			
Actual completion date:			
Initial contract value (as of time of bid award) for Subcontractor’s portion of the applicable scope of work for this license category:			
Final contract value for Subcontractor’s portion of the applicable scope of work for this license category:			

CERTIFICATION

I certify the following:

- Under penalty of perjury under the laws of the State of California, the foregoing is true and correct.
- I acknowledge that this prequalification questionnaire is only one process that the Firm may have to complete and satisfy since contractor(s) to whom the Firm may propose may utilize a best-value or other qualification process that will have additional criteria with which the Firm may need to comply.

Date: _____

Proper Name of Subcontractor: _____

Signature: _____

By: _____ (Print Name)

Title: _____

DISTRICT’S SCORING OF SUBCONTRACTOR PREQUALIFICATION QUESTIONNAIRES

1. **Confirm the subcontractor has passing answers for the “Pass/Fail Questions.”**
2. **Confirm subcontractor has submitted the required financial statements.** If not, notify subcontractor in writing that its Subcontractor Prequalification Questionnaire is non-responsive for failing to include the required financial statements.
3. **Score the “Evaluated Questions.”**

Topic/Question	Scoring & Max. Poss. Score	Score
1. Liquidated Damages	No = 8 points; Yes = 0 points	8
2. Surety Premium	No = 2 points; Yes = 0 points	2
3. Insurer Pay	No = 2 points; Yes = 0 points	2
4. EMR	No = 2 points; Yes = 0 points	2
5. Workers’ Comp.	No = 2 points; Yes = 0 points	2
6. Bankruptcy	No = 8 points; Yes = 0 points	8
7. Denied Bond	No = 8 points; Yes = 0 points	8
8. Filed Claims	No = 8 points; Yes = 0 points	8
9. Labor Code Citations	No = 2 points; Yes = 0 points	2
10. Back Wages or Penalties	No = 2 points; Yes = 0 points	2
11. Pending DIR Claims	No = 2 points; Yes = 0 points	2
12. EPA Citations	No = 2 points; Yes = 0 points	2
13. OSHA Citations	No = 2 points; Yes = 0 points	2
14. Safety Meetings	Yes = 2 points; No = 0 points	2
15. Apprenticeship Program	Listed = 2 points; None listed = 0 points	2
Total Score		54

Scoring of Subcontractor Prequalification Questionnaire	Minimum points required for prequalification: 45
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